

To: Matthew Conner, LAUC President  
From: Diane Gurman, LAUC representative to Strategic Action Group 1 (SAG1)  
Re: SAG1 Report, 2014/2015

Strategic Action Group 1(SAG1) is charged by the Council of University Librarians to make decisions for the University of California Libraries' shared services and collaborative activities in the functional areas of scholarly publishing, open access models and strategies, rights management, and data curation. SAG 1 is a component of the advisory structure of the University of California Libraries put in place by the Council of University Librarians in 2013.

See: <http://libraries.universityofcalifornia.edu/groups/files/sag1/docs/charge-sag1.pdf>

SAG1 discussions focus on the investigation and development of new services, improvements for existing services, and the creation of related policies and procedures in the SAG1 functional areas. Meeting minutes can be viewed at <http://libraries.universityofcalifornia.edu/sag1/meetings>

Note: I was appointed to the position of LAUC representative to SAG1 in June of 2014, to complete the term of Lisa Rowlinson de Ortiz who had to step down from this role. My first conference call with SAG1 took place on July 18, 2014, and the following notes cover the period from that date through April 3, 2015.

### **Summary of Major Topics**

#### **Work Plan**

The SAG1 work plan has been revised. It is currently housed on a closed space on the wiki, but if you'd like a PDF of the document, please contact me at [dgurman@library.ucla.edu](mailto:dgurman@library.ucla.edu).

#### **UC Open Access Policy Implementation**

There were two aspects of this that were discussed by SAG1: expanding the OA policy beyond the initial mandate for ladder faculty to all staff and students, and the roll-out of the Symplectic harvester. Feedback from campuses where the harvester has been implemented has been generally positive.

#### **Data Curation**

SAG1 is overseeing work by the Data Curation Common Knowledge Group (CKG) on producing instructional videos related to data curation.

SAG1 was asked by CoUL to respond to a 2013 document called "UC Libraries Strategic Agenda for Shared Data Curation." A decision was made to consult with campuses in order to turn the generalizations in the document into concrete actions (where possible).

#### **Open Access Fund Assessment**

All campuses except UCLA used their Open Access funds for journal subvention—paying article processing fees so that UC authors could have articles accepted for publication published as open access. UCLA used it funds for a program called the Affordable Course Materials Initiative. This entailed offering grants of \$1000 to any faculty members willing to work with librarians to

reduce the cost of their course materials. SAG1 discussed the final report of the OA Fund Assessment Group, and whether further analysis was needed. Another round of survey questions, these aimed at grant fund recipients, will be drafted by a group composed of members of SAG1 and CLS.

### **Knowledge Unlatched Pilot**

Knowledge Unlatched (KU) is a global open access initiative for scholarly book-length publications that depends on “many libraries from around the world sharing the payment of a single Title Fee to a publisher, in return for a book being made available on a [Creative Commons](https://creativecommons.org/licenses/by/4.0/) licence...as a fully downloadable PDF” (see <http://www.knowledgeunlatched.org/about/how-it-works/>). CDL signed on for the first round of the pilot. After discussion of the pros and cons (e.g., recognition of the greater good-pro; limitations of PDF format-con), SAG1 decided to support the UC’s participation in round 2 of the KU pilot until spring of 2015. At that point the project will be re-evaluated.

### **Criteria for Investment in Transformative Scholarly Communication Initiatives: 2015 Update**

This updated document, drafted by a sub-group of SAG1, provides criteria for evaluating initiatives such as Knowledge Unlatched and PeerJ, so that decisions can be made by the UCs as to whether they should invest funds in them. The report was approved.

### **ORCID**

ORCID (Open Researcher and Contributor ID) is “an open, non-profit, community-based effort to provide a registry of unique researcher identifiers and a transparent method of linking research activities and outputs to these identifiers” (see <http://orcid.org/content/about-orcid>). SAG1 formed an ORCID project team, with the goal of exploring consortial ORCID implementation and uptake on UC campuses.

### **Proposed University of California Libraries Advisory Structure (UCLAS)**

As you probably know, a consultant (Katherine Kott) was hired to facilitate an assessment of UCLAS. The result was a proposed reorganization of the structure. We are in the early phases of discussion about the strengths and weaknesses, and questions to pose during the April 15<sup>th</sup> conference call.